



D-CP2 CODE OF CONDUCT (EMPLOYEES)

CEO Directive

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Shire of Derby / West Kimberley

1. INTRODUCTION

The Shire of Derby/West Kimberley's (the Shire's) Code of Conduct (the Code) provides employees with clear guidelines for the standards of professional conduct expected of them in carrying out their functions and responsibilities.

The Code addresses the broader issue of ethical responsibility and encourages transparency and accountability. The Code expresses the Shire's commitment to high standards of ethical and professional behaviour and outlines the principles on which individual responsibilities are based.

The Code is complementary to the principles adopted in the *Local Government Act 1995* (the Act) and associated regulations, which incorporate four fundamental aims:

- (a) better decision-making by local governments;
- (b) greater community participation in the decisions and affairs of local governments;
- (c) greater accountability of local governments to their communities; and
- (d) more efficient and effective local government.

1.1 Statutory Environment

The Code addresses the requirement in section 5.51A of the Act for the CEO to prepare and implement a code of conduct to be observed by employees of the Local Government, and includes the matters prescribed in Part 4A of the *Local Government (Administration) Regulations 1996*.

The Code should be read in conjunction with the Act and associated regulations. Employees should ensure that they are aware of their statutory responsibilities under this and other legislation.

1.2 Application

For the purposes of the Code, the term "employees" includes persons employed by the Shire or engaged by the Shire under a contract for services. The Code applies to all employees, including the CEO, while on the Shire's premises or while engaged in Shire-related activities.



2. VALUES AND VISION

The Shire's Strategic Community Plan 2021 – 2031 has the following visions and values:

OUR VISION AND VALUES

A place where people want to live,
invest, visit and return to

- We are **PROUD** of who we are and where we live
- We will create a **POSITIVE LEGACY** for our children and our children's children.
- We will go about our business with **INTEGRITY, TRANSPARENCY and AUTHENTICITY**
- We value and **RESPECT** what our community has to say and will strive to make things happen
- We are **PROUD** of and value the **KNOWLEDGE** of our diverse and strong people and cultures
- We value our **RELATIONSHIPS** and will work with others to achieve common goals and gain maximum impact
- We are **PROUD** of and **COMMITTED** to the responsible preservation of our unique natural environment and making sure our built environment reflects our current and future needs
- We are open for and encourage business, industry and all aspects of **COMMUNITY DEVELOPMENT**, particularly our thriving arts and cultural scene

"Two people can do so much but a community can do anything"



3. CODE OF CONDUCT

3.1 Role of Employees

The role of employees in Local Government is determined by the functions of the CEO as set out in section 5.41 of the Act.

Functions of CEO (section 5.41 of the Act)

The CEO's functions are to:

- advise the Council in relation to the legal functions of the Shire;
- ensure that advice and information is available to the Council so that informed decisions can be made;
- cause Council decisions to be implemented;
- manage the day to day operations of the Shire;
- liaise with the President on the Shire's affairs and the performance of the Shire's functions;
- speak on behalf of the Shire if the President agrees;
- be responsible for the employment, management supervision, direction and dismissal of other employees;
- ensure that records and documents of the Shire are properly kept; and
- perform any other function specified or delegated by the Shire or legally imposed as a function to be performed by the CEO.

3.2 Principles Affecting Employment by the Shire of Derby / West Kimberley

The principles set out in section 5.40 of the Act apply to the employment of the Shire's employees:

Principles affecting employment by local governments (section 5.40 of the Act)

The following principles apply to a local government in respect of its employees:

- employees are to be selected and promoted in accordance with the principles of merit and equity;
- no power with regard to matters affecting employees is to be exercised on the basis of nepotism or patronage;
- employees are to be treated fairly and consistently;
- there is to be no unlawful discrimination against employees or persons seeking employment by the Shire on a ground referred to in the *Equal Opportunity Act 1984* or on any other ground; and
- employees are to be provided with safe and healthy working conditions in accordance with the *Work Health and Safety Act 2020*; and
- any other legal principles as prescribed.

3.3 Personal Behaviour

Employees will:

- (a) act, and be seen to act, properly, professionally and in accordance with the requirements of the law, the terms of this Code and all policies of the Shire;
- (b) perform their duties impartially and in the best interests of the Shire, uninfluenced by fear or favour;
- (c) act in good faith (i.e. honestly, for the proper purpose, and without exceeding their powers) in the interests of the Shire and the community;
- (d) make no allegations which are improper or derogatory (unless true and in the public interest);
- (e) refrain from any form of conduct, in the performance of their official or professional duties, which may cause any reasonable person unwarranted offence or embarrassment; and
- (f) always act in accordance with their obligation of fidelity to the Shire.



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3.4 Honesty and Integrity

Employees will:

- (a) observe the highest standards of honesty and integrity, and avoid conduct which might suggest any departure from these standards;
- (b) be frank and honest in their official dealings with each other; and
- (c) report any dishonesty or possible dishonesty on the part of any other employee to their Supervisor or the CEO in accordance with this Code and the Shire's policies.

3.5 Performance of Duties

While on duty, employees will give their whole time and attention to the Shire's business and ensure that their work is carried out efficiently, economically and effectively, and that their standard of work reflects favourably both on them and on the Shire.

3.6 Compliance with Lawful and Reasonable Directions, Decisions and Policies

- (a) Employees will comply with any lawful and reasonable direction given by any person having authority to make or give such an order, including but not limited to their Supervisor, Executive Directors or the CEO.
- (b) Employees will give effect to the lawful decisions and policies of the Shire, whether or not they agree with or approve of them.

3.7 Administrative and Management Practices

Employees will ensure compliance with proper and reasonable administrative practices and conduct, and professional and responsible management practices.

3.8 Intellectual Property

The title to Intellectual Property in all duties relating to contracts of employment will be assigned to the Shire upon its creation unless otherwise agreed by separate contract.

3.9 Recordkeeping

Employees will ensure complete and accurate local government records are created and maintained in accordance with the Shire's Recordkeeping Plan.

3.10 Dealing with Other Employees

- (a) Employees will treat other employees with respect, courtesy and professionalism, and refrain from behaviour that constitutes discrimination, bullying or harassment.
- (b) Employees must be aware of, and comply with their obligations under relevant laws and the Shire's policies regarding workplace behaviour and occupational safety and health, including PC2 Occupational Safety and Health; C4 Violence and Aggression; and ES4 Fraud, Misconduct Control and Resilience policies.
- (c) Employee behaviour should reflect the Shire's values and contribute towards creating and maintaining a safe and supportive workplace.

3.11 Dealing with Community

- (a) Employees will treat all members of the community with respect, courtesy and professionalism.
- (b) All of the Shire's services must be delivered in accordance with relevant policies and procedures, and any issues resolved promptly, fairly and equitably.



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3.12 Professional Communications

- (a) All aspects of communication by employees (including verbal, written, and electronic), involving the Shire's activities should reflect the status, values and objectives of the Shire.
- (b) Communications should be accurate, polite and professional.

3.13 Personal Communications and Social Media

- (a) Personal communications and statements made privately in conversation, written, recorded, emailed or posted in personal social media, have the potential to be made public, whether intended or not.
- (b) Employees must not, unless undertaking a duty in accordance with their employment, disclose information, make comments or engage in communication activities about or on behalf of the Shire, its Council Members, employees or contractors, which breach this Code.
- (c) Employee comments which become public and breach the Code of Conduct, or any other operational policy or procedure, may constitute a disciplinary matter and may also be determined as misconduct and be notified in accordance with the *Corruption, Crime and Misconduct Act 2003*.

3.14 Personal Presentation

Employees are expected to comply with professional, neat and responsible dress standards at all times, in accordance with the Shire's relevant policies/directives and procedures.

3.15 Gifts

3.15.1 Application

For the purposes of "*prohibited gifts*" and "*recording of information*" a local government employee does not include the CEO (the CEO is subject to separate legislative conditions in those regards).

3.15.2 Definition

In this clause, as per the *Regulations*:

Activity involving a local government discretion means an activity —

- (a) that cannot be undertaken without an authorisation from the local government; or
 - (b) by way of a commercial dealing with the local government;
- [r.19AA of the *Local Government (Administration) Regulations 1996*]

associated person means a person who —

- (a) is undertaking or seeking to undertake an activity involving a local government discretion; or
- (b) it is reasonable to believe, is intending to undertake an activity involving a local government discretion

[r.19AA of the *Local Government (Administration) Regulations 1996*]

gift

- (a) has the meaning given in section 5.57 [of the *Local Government Act 1995*]; but
 - (b) does not include —
 - (i) a gift from a relative as defined in section 5.74(1); or
 - (ii) a gift that must be disclosed under the *Local Government (Elections) Regulations 1997* regulation 30B; or
 - (iii) a gift from a statutory authority, government instrumentality or non-profit association for professional training; or
 - (iv) a gift from WALGA, the Australian Local Government Association Limited, the Local Government Professionals Australia WA, or the LG Professionals Australia;
- [r.19AA of the *Local Government (Administration) Regulations 1996*]



gift means —

- (a) a conferral of a financial benefit (including a disposition of property) made by one person in favour of another person unless adequate consideration in money or money's worth passes from the person in whose favour the conferral is made to the person who makes the conferral; or
- (b) a travel contribution;

travel includes accommodation incidental to a journey;

travel contribution means a financial or other contribution made by one person to travel undertaken by another person;

[Section 5.57 of the Local Government Act 1995]

relative, in relation to a relevant person, means any of the following —

- (a) a parent, grandparent, brother, sister, uncle, aunt, nephew, niece, lineal descendant of the relevant person or of the relevant person's spouse or de facto partner;
- (b) the relevant person's spouse or de facto partner or the spouse or de facto partner of any relative specified in paragraph (a), whether or not the relationship is traced through, or to, a person whose parents were not actually married to each other at the time of the person's birth or subsequently, and whether the relationship is a natural relationship or a relationship established by a written law;

[Section 5.74(1) of the Local Government Act 1995]

prohibited gift in relation to a local government employee, means —

- (a) a gift worth the threshold amount or more; or
- (b) a gift that is one of two or more gifts given to the local government employee by the same person within a period of one year that are in total worth the threshold amount or more;

[r.19AA of the Regulations]

reportable gift means:

- (i) Is required to be included by the *Local Government (Administration) Regulations 1996* but due to the low (\$5) threshold for Prohibited Gifts is effectively irrelevant.

threshold amount has the meaning given to it in the *Local Government (Administration) Regulations 1996*, subject to the CEO's determination under subclause (c);

3.15.3 Prohibited Gifts Determination

In accordance with Regulation 19AF of the *Local Government (Administration) Regulations 1996* - the CEO has determined that the threshold amount for prohibited gifts is \$5, which means that employees can only accept modest forms of hospitality or token gifts. Gifts containing alcohol are not permitted at all, irrespective of their value.

3.15.4 Employees must not accept a prohibited gift from an associated person.

3.15.5 An employee who accepts a reportable gift from an associated person is to notify the CEO in accordance with subclause 3.15.6 and within 10 days of accepting the gift.



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3.15.6 The notification of the acceptance of a reportable gift must be in writing and include:

- (i) the name of the person who gave the gift; and
- (ii) the date on which the gift was accepted; and
- (iii) a description, and the estimated value, of the gift; and
- (iv) the nature of the relationship between the person who is an employee and the person who gave the gift; and
- (v) if the gift is one of two or more accepted from the same person within a period of one year:
 - 1) a description;
 - 2) the estimated value; and
 - 3) the date of acceptance,of each other gift accepted within the one year period.

3.15.7 As required by the *Local Government (Administration) Regulations 1996*, the CEO will maintain a register of reportable gifts but this will be effectively redundant due to the low (\$%) threshold for Prohibited Gifts.

3.15.8 The CEO will arrange for the register maintained under subclause 3.15.7 to be published on the Shire's official website.

3.15.9 As soon as practicable after a person ceases to be an employee, the CEO will remove from the register all records relating to that person. The removed records will be retained for a period of at least five years.

3.16 Conflict of Interest

- (a) Employees will ensure that there is no actual (or perceived) conflict of interest between their personal interests and the impartial fulfilment of their professional duties.
- (b) Employees will not engage in private work with or for any person or body with an interest in a proposed or current contract with the Shire, without first disclosing the interest to the CEO. In this respect, it does not matter whether advantage is in fact obtained, as any appearance that private dealings could conflict with performance of duties must be scrupulously avoided. Employees will lodge written notice with the CEO describing an intention to undertake a dealing in land which is within the district of the Shire, or which may otherwise be in conflict with the Local Government's functions (other than purchasing the principal place of residence).
- (c) Employees who exercise a recruitment or any other discretionary function will disclose any actual (or perceived) conflict of interest to the CEO before dealing with relatives or friends and will disqualify themselves from dealing with those persons.
- (d) Employees will conduct themselves in an apolitical manner and refrain from political activities which could cast doubt on their neutrality and impartiality in acting in their professional capacity.

3.17 Secondary Employment

An employee must not engage in secondary employment (including paid and unpaid work) without receiving the prior written approval of the CEO.

Note: for the point of clarity, this does not include voluntary work for district sporting and community groups provided along similar lines to the participation of other community members.

3.18 Disclosure of Financial Interests

- (a) All employees will apply the principles of disclosure of financial interest as contained within the Act.
- (b) Employees who have been delegated a power or duty, have been nominated as 'designated employees' or provide advice or reports to Council or Committees, must ensure that they are aware of, and comply with, their statutory obligations under the Act.



3.19 Disclosure of Interests Relating to Impartiality

- (a) In this clause, **interest** has the meaning given to it in the *Regulations*.
- (a) means an interest that could, or could reasonably be perceived to, adversely affect the impartiality of the person having the interest; and
- (b) includes an interest arising from kinship, friendship or membership of an association.
[r.19AA of the *Regulations*]
- (b) An employee who has an interest in any matter to be discussed at a Council or Committee meeting attended by the employee is required to disclose the nature of the interest:
- (i) in a written notice given to the CEO before the meeting; or
- (ii) at the meeting immediately before the matter is discussed.
- (c) An employee who has given, or will give, advice in respect of any matter to be discussed at a Council or Committee meeting not attended by the employee is required to disclose the nature of any interest the employee has in the matter:
- (i) in a written notice given to the CEO before the meeting; or
- (ii) at the time the advice is given.
- (d) A requirement described under (b) and (c) excludes an interest referred to in Section 5.60 of the Act.
- (e) An employee is excused from a requirement made under (b) or (c) to disclose the nature of an interest because they did not know and could not reasonably be expected to know:
- (i) that they had an interest in the matter; or
- (ii) that the matter in which they had an interest would be discussed at the meeting and they disclosed the nature of the interest as soon as possible after the discussion began.
- (f) If an employee makes a disclosure in a written notice given to the CEO before a meeting to comply with requirements of (b) or (c), then:
- (i) before the meeting the CEO is to cause the notice to be given to the person who is to preside at the meeting; and
- (ii) at the meeting the person presiding must bring the notice and its contents to the attention of persons present immediately before a matter to which the disclosure relates is discussed.
- (g) If:
- (i) to comply with a requirement made under item (b), the nature of an employee's interest in a matter is disclosed at a meeting; or
- (ii) a disclosure is made as described in item (e)(ii) at a meeting; or
- (iii) to comply with a requirement made under item (f)(ii), a notice disclosing the nature of an employee's interest in a matter is brought to the attention of the persons present at a meeting, the nature of the interest is to be recorded in the minutes of the meeting.

3.20 Proper Use of Information

- (a) Employees must not access, use or disclose information held by the Shire except as directly required for, and in the course of, the performance of their duties.
- (b) Employees will handle all information obtained, accessed or created in the course of their duties responsibly, and in accordance with this Code, the Shire's policies and procedures.
- (c) Employees must not access, use or disclose information to gain improper advantage for themselves or another person or body, in ways which are inconsistent with their obligation to act impartially and in good faith, or to improperly cause harm, detriment or impairment to any person, body, or the Shire.
- (d) Due discretion must be exercised by all employees who have access to confidential, private or sensitive information.
- (e) Nothing in this section prevents an employee from disclosing information if the disclosure:
- (i) is authorised by the CEO or the CEO's delegate; or
- (ii) is permitted or required by law.



3.21 Improper or Undue Influence

- (a) Employees will not take advantage of their position to improperly influence Council Members or employees in the performance of their duties or functions, in order to gain undue or improper (direct or indirect) advantage or gain for themselves or for any other person or body.
- (b) Employees must not take advantage of their position to improperly influence any other person in order to gain undue or improper (direct or indirect) advantage or gain, pecuniary or otherwise, for themselves or for any other person or body.
- (c) Employees must not take advantage of their positions to improperly disadvantage or cause detriment to the local government or any other person.

3.22 Use of Shire Resources

- (a) In this clause:

Shire of Derby / West Kimberley resources includes local government property and services provided or paid for by the Shire;

local government property means anything, whether land or not, that belongs to, or is vested in, or under the care, control or management of, the local government.

[Section 1.4 of the Act]

- (b) Employees will:
 - (i) be honest in their use of the Shire resources and must not misuse them or permit their misuse (or the appearance of misuse) by any other person or body;
 - (ii) use the Shire resources entrusted to them effectively, economically, in the course of their duties and in accordance with relevant policies and procedures; and
 - (iii) not use the Shire's resources (including the services of employees) for private purposes (other than when supplied as part of a contract of employment), unless properly authorised to do so, and appropriate payments are made (as determined by the CEO).

3.23 Use of Shire Finances

- (a) Employees are expected to act responsibly and exercise sound judgment with respect to matters involving the Shire's finances.
- (b) Employees will use Shire finances only within the scope of their authority, as defined in position descriptions, policies and procedures, administrative practices.
- (c) Employees with financial management responsibilities will comply with the requirements of the *Local Government (Financial Management) Regulations 1996*.
- (d) Employees exercising purchasing authority will comply with the Shire's Purchasing Policy (F1 Procurement of Goods and Services), and the systems and procedures established by the CEO in accordance with regulation 5 of the *Local Government (Financial Management) Regulations 1996*.
- (e) Employees will act with care, skill, diligence, honesty and integrity when using local government finances.
- (f) Employees will ensure that any use of Shire finances is appropriately documented in accordance with the relevant policy and procedure, including the Shire's Recordkeeping Plan.

3.24 Reporting on Suspected Breaches of the Code of Conduct

Employees may report suspected breaches of the Code to their Supervisor, Director, or the CEO, in accordance with the Shire's Code of Conduct.

3.25 Handling of Suspected Breaches of the Code of Conduct

Suspected breaches of the Code will be dealt with in accordance with the relevant Shire policies and procedures, depending on the nature of the suspected breach.



3.26 Reporting Suspected Unethical, Fraudulent, Dishonest, Illegal or Corrupt Behaviour

- (a) Employees may report suspected unethical, fraudulent, dishonest, illegal, or corrupt behaviour to their Supervisor, Director, or the CEO in accordance with this Code of Conduct.
- (b) In accordance with the *Corruption, Crime and Misconduct Act 2003*, if the CEO suspects on reasonable grounds that the alleged behaviour may constitute misconduct as defined in that Act, the CEO will notify:
 - (i) the Corruption and Crime Commission, in the case of serious misconduct; or
 - (ii) the Public Sector Commissioner, in the case of minor misconduct.
- (c) Employees, or any person, may also report suspected serious misconduct to the Corruption and Crime Commission or suspected minor misconduct to the Public Sector Commissioner.
- (d) Employees, or any person, may also make a Public Interest Disclosure to report suspected unethical, fraudulent, dishonest, illegal or corrupt behaviour, using the Shire's Public Interest Disclosure Procedures, published on the Shire's website.
- (e) Employees have an obligation to immediately self-report any incidents of criminal charges being received, or criminal convictions being recorded, or incidents which are likely to lead to charges or/and convictions being recorded against themselves. Any such incidents must be reported immediately to people and culture via pc@sdwk.wa.gov.au, and their Supervisor or Director.

3.27 Handling of Suspected Unethical, Fraudulent, Dishonest, Illegal or Corrupt Behaviour

Suspected unethical, fraudulent, dishonest, illegal or corrupt behaviour will be dealt with in accordance with the appropriate Shire policies and procedures, and where relevant, in accordance with the lawful directions of the appropriate statutory body.



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CEO Directive Details			
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Directive Implementing Officer or Team:	Manager Corporate Services	Directive Reviewer:	Deputy Chief Executive Officer
Legislative Head of Power (Act, Regulation, or Local Law):	Local Government Act S.5.51A (Code of conduct for employees)		
Related Documents (other Policies, Operational Procedures, Delegations, etc):	PC2 Occupational Safety and Health Policy C4 Violence and Aggression Policy ES3 Fraud, Misconduct Control and Resilience Policy F1 Procurement of Goods and Services D-CP1 Code of Conduct Employee Acknowledgement Equal Opportunity Act 1984 Freedom of Information Act 1992 Local Government Act 1995 Local Government (Financial Management) Regulations 1996 Local Government (Administration) Regulations 1996 Corruption, Crime and Misconduct Act 2003 Workplace Health and Safety Act 2020 Equal Opportunity Act 1984 Public Interest Disclosure Act 2003 Public Sector Management Act 1994 State Records Act 2000		
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Signed:

Amanda Dexter
Chief Executive Officer

Date: 9 July 2024